

COMPETITIVE ANALYSIS

PREPARED FOR:

INDIVIDUALS AND FAMILIES



*Mapping your financial future.
Registered Investment Advisor*

COMPETITIVE ANALYSIS FORM

	Legend Financial Advisors, Inc.®	Firm #1	Firm #2	Firm #3
Credentials:	<p>Legend's advisory team has been selected 15 times to Worth magazine's elite list of "The Best Financial Advisors in America," 17 times to Medical Economics Magazine's "The 150 Best Financial Advisors for Doctors in America," and twice to Mutual Fund Magazine's "The 100 Great Financial Planners in America."</p>			
Is A Fiduciary:	Yes			
Compensation Method:	Fee Only			
How Calculated:	<p>Fixed Fee Guaranteed for two years for both Financial Planning and Investment Management Services or Percentage of Assets for Investment Management Services Only.</p>			
Quoted Fee:	\$ _____	\$ _____	\$ _____	\$ _____
Total Commission Compensation:	None			
Registered as an Investment Advisor:	Yes			
Does the Firm Sell Insurance and Annuities?:	No			
Does the Firm Sell Investment Products with Commissions?:	No			

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Firm #1

Firm #2

Firm #3

Discretionary Accounts:

Yes, with client input

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<u>Investment Management Services:</u>				
Risk Tolerance Questionnaire:	Yes			
Frequency of Reporting:	Quarterly (Interim reporting is available for special client requests as well)			
Percentage Return Shown:	Yes: Time-Weighted (Manager's Return) and Internal Rate of Return (Client's Return)			
Managing Efficient Tax Loss Selling:	Yes			
Income Tax Reporting of Securities Bought and Sold:	Yes			
<u>Financial Planning Services:</u>	Yes			
Income Tax Projections:	2-4 per year			
Education Funding Projections and Investment Advice:	Yes			
Retirement Projections:	Yes			
Retirement Distribution Planning Pre-59½ and Post-70½:	Yes			

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**Estate Planning Coordination with
Attorney, Including Asset Retitling
and Coordinating of Beneficiary
Designations:**

Yes

**Stock Option Planning (ISO's & Non-
Qualified Stock Options):**

Yes

**Coordination of Entire Financial
Affairs with Client's Other Financial
Advisors and Financial Institutions:**

Yes

Philanthropic Planning:

Yes