COMPETITIVE ANALYSIS

PREPARED FOR:

BUSINESSES AND MEDICAL PRACTICES

Legend Financial Advisors, Inc.®

Mapping your financial future.
Registered Investment Advisor

COMPETITIVE ANALYSIS FORM

	Legend Financial Advisors, Inc.®	Firm #1	Firm #2	Firm #3
Credentials:	Legend's advisory team has been selected 15 times to Worth magazine's elite list of "The Best Financial Advisors in America," 17 times to Medical Economics Magazine's "The 150 Best Financial Advisors for Doctors in America," and twice to Mutual Fund Magazine's "The 100 Great Financial Planners in America."			
Is A Fiduciary:	Yes			
Compensation Method:	Fee Only			
How Calculated:	Fixed Fee Guaranteed for two years for both Financial Planning and Investment Management Services or Percentage of Assets for Investment Management Services Only.			
Quoted Fee:	$	$	$	$
Total Commission Compensation:	None			
Registered as an Investment Advisor:	Yes			
Does the Firm Sell Insurance and Annuities?:	No			
Does the Firm Sell Investment Products with Commissions?:	No			

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Discretionary Accounts:	Yes, with client input			

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Investment Management Services:				
Risk Tolerance Questionnaire:	Yes			
Frequency of Reporting:	Quarterly (Interim reporting is available for special client requests as well)			
Percentage Return Shown:	Yes: Time-Weighted (Manager's Return) and Internal Rate of Return (Client's Return)			
Managing Efficient Tax Loss Selling:	Yes			
Income Tax Reporting of Securities Bought and Sold:	Yes			
Financial Planning Services:	Yes			
Income Tax Projections:	2-4 per year			
Education Funding Projections and Investment Advice:	Yes			
Retirement Projections:	Yes			

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Retirement Distribution Planning Pre-59½ and Post-70½:	Yes			
Estate Planning Coordination with Attorney, Including Asset Retitling and Coordinating of Beneficiary Designations:	Yes			
Asset Protection Strategies:	Yes			
Identity Theft Prevention Strategies:	Yes			
Survivors Analysis Calculations:	Yes			
Insurance Analysis, Including Reviews of Homeowners, Auto, Personal Umbrella Liability, Life, Disability, Long-Term Care, and Health Including Medicare Supplement:	Yes			
Employee Benefits Analysis:	Yes			
Stock Option Planning (ISO's & Non-Qualified Stock Options):	Yes			
Coordination of Entire Financial Affairs with Client's Other Financial Advisors and Financial Institutions:	Yes			
Philanthropic Planning:	Yes			

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Business Financial Planning Advice Provided:				
Assistance with Budgeting and Operational Cash Issues:	Yes			
Assistance with Obtaining Loans and Lines Of Credit:	Yes			
Review of Corporate Governance Issues:	Yes			
Income Tax Planning:	Yes			
Buy-Sell Agreement Review:	Yes			
Review of Retirement Plans:	Yes			
Employee Benefits Review:	Yes			
Risk Management Review:	Yes			
Insurance Analysis, Including Review of Business Property and Casualty, Disability Overhead and Buy-Out, Professional Liability, Officers' and Directors' Liability, Employment Practices, Workmen's Compensation, Key Person Life and Disability, Buy-Sell Life, and Fidelity Bond Insurance Coverages:	Yes			
Asset Protection Analysis:	Yes			
Succession Planning Analysis:	Yes			